

**RETIREMENT BOARD OF ALLEGHENY COUNTY
PUBLIC DISCLOSURE OF INTEREST IN ACCORANCE WITH ACT-44-POLICY**

In accordance with the adoption of the Act 44 standards by the Retirement Board of Allegheny County on April 20, 2023, the following disclosures are required for prospective, new, and existing professional service contracts. If additional space is needed, please attach additional sheets.

Name of Individual filling out the form:

Last First Middle Initial

Home Address:

Business Address:

Disclosure of interest (check one):

- Initial. In the case of a new or prospective contract, the Act 44 disclosure of financial interest statement must be submitted with proposal to the Retirement Office Manager. You must complete Section A.
- Biennial update. The biennial disclosure statement must be filed with the Retirement Office Manager before May 1 of each odd-numbered calendar year. You must complete Sections A and B.
- Mandatory update. A mandatory update is required whenever your answer to your most recent disclosure form has changed. In that event, you must submit an updated disclosure within 30 days of the occurrence or development that triggers the mandatory update. You must complete any part of Section A or B effected by the occurrence or development.

SECTION A: Identify and provide the following information for all individuals who are or will provide services under the contract, including advisors or subcontractors:

1. Name, position/title, and a description of services to be performed for all such individuals.

2. Whether the individual is a current or former official or employee of the County of Allegheny. If so, please provide the applicable dates and position(s) held.

3. Whether the individual is or has been a registered Federal or State lobbyist.

4. The resume(s) of an individual(s) – (please attach)

5. Disclose the employment or compensation of a third party intermediary, agent or lobbyist to directly or indirectly communicate with a county pension system official or employee of a county pension system official or employee in connection with any transaction or investment involving your firm and the county pension system.

The disclosure shall not apply to an officer or employee of the investment firm who is acting within the scope of the firm's standard professional duties on behalf of the firm, including the actual provision of legal, accounting, engineering, real estate or other professional advice, services or assistance pursuant to a professional services contract with the county pension system.

6. Whether you or an affiliated entity have made a financial contribution to a county official or candidate for county office in Allegheny County within the past two years, except that this disclosure applies only to financial contributions made on or after January 1, 2024.

7. Whether you or an affiliated entity have a direct financial, commercial, or business relationship with any official of the county pension system or the County of Allegheny. If yes, please fully disclose the details so that a determination may be made as to whether the county pension system will consent in writing to such relationship.

SECTION B. Contributions / Gifts - A person or an affiliated entity that has a professional services contract with the county pension system shall disclose all contributions to which all of the following apply.

(i) The contribution was made on or after January 1, 2024, and within the two years preceding the submission of a required disclosure form.

(ii) The contribution was made by an officer, director, executive-level employee or owner of at least 5% of the person or affiliated entity.

(iii) The amount of the contribution was at least \$250 in the form of:

(A) a single contribution by a person included in subparagraph (ii).

(B) the aggregate of all contributions by all persons listed in subparagraph (ii).

(iv) The contribution was made to:

(A) a candidate for any public office in the County of Allegheny or to an individual who holds that office.

(B) a political committee of a candidate for public office in the County of Allegheny or of an individual who holds that office.

1. Please state whether you or an affiliated entity have made any contributions that meet the above-listed criteria.

2. If you answered “yes” to the preceding question, please provide the following information:

a. The name and address of the contributor.

b. The contributor’s relationship to the contractor.

c. The name of the candidate for public office, office holder, or political committee of the candidate receiving a contribution.

d. The amount of the contribution.

e. The date of the contribution.

3. Please state whether you have offered or conferred a gift on or after January 1, 2024, having more than nominal value, including money, services, loans, travel, lodging, entertainment, discount or other thing of value, to any official, employee or fiduciary of the county pension system.

Provide the name(s) and position(s) of the person(s) participating in the completion of the Disclosure Form. **One of the individuals** identified in the response to **Question #1** of Section A above must participate in completing this Disclosure Form and must sign the below verification attesting to the participation of those individuals named below.

Name:

Name:

Position:

Position:

Signature

Signature

Title

Title

Date

Date

Verification

I, _____, hereby state that I am _____ (position) of/for _____ (company) and I am authorized to make this verification. I hereby verify that the facts set forth in the foregoing Disclosure Form are true and correct to the best of my knowledge, information, and belief. I also understand that knowingly making material misstatements or omissions in this form could subject the responding entity to the penalties in Section 1.5(e) of the Policy and Procedures for Professional Services Contracts of the Retirement Board of Allegheny County.

I understand that false statements herein are made subject to the penalties of 18 Pa.C.S. 4904 relating to unsworn falsification to authorities.

Signature : _____

Print Name: _____

Title: _____

Date: _____

Company Name: _____